



Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

<b>Application Number</b>	09/718,595
<b>Filing Date</b>	11/21/2000
<b>First Named Inventor</b>	Dan Kikinis
Group Art Unit	2152
<b>Examiner Name</b>	B. Prieto
<b>Attorney Docket Number</b>	P1541D1

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
(61)		5,850,218		LaJoie et al.	12/15/1998	
		5,579,057		Banker et al.	11/26/1996	
		5,889,950		Kuzma	03/30/1999	
		5,485,197		Hoarty	01/16/1996	
		5,724,492		Matthews III et al.	03/03/1998	
		4,621,259		Schepers et al.	11/04/1986	
		5,822,123		Davis et al.	10/13/1998	
		6,205,485		Kikinis	03/20/2001	Priority Claim
		5,678,012		Kimmich et al.	10/14/1997	
		6,111,614		Mugura et al.	08/29/2000	
		6,154,203		Yuen et al.	11/28/2000	
		5,550,576		Klosterman et al.	08/27/1996	
		5,684,525		Klosterman	11/04/1997	
		5,758,259		Lawler	05/26/1998	
		5,781,246		Alten et al.	07/14/1998	
		5,809,204		Young et al.	07/15/1998	
		5,828,945		Klosterman	10/27/1998	
		5,923,362		Klosterman	07/13/1999	
		5,940,073		Klosterman et al.	08/17/1999	
(61)		5,982,445		Eyer et al.	11/09/1999	

[illegible]

**Examiner  
Signature**

## Beating Pests

Date Considered

5/14/02

1 of 2

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Complete if Known**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 2 of 2

Application Number	09/718,595
--------------------	------------

<b>Filing Date</b>	11/21/2000
--------------------	------------

First Named Inventor	Dan Kikinis
----------------------	-------------

Group Art Unit	2152
----------------	------

Examiner Name	B. Prieto
---------------	-----------

Attorney Docket Number P1541D1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
6P		6,072,983		Klosterman	06/06/2000	
		6,078,348		Klosterman et al.	06/20/2000	
		4,706,121		Young	11/10/1987	
		4,908,713		Levine	03/13/1990	
		5,508,815		Levine	04/16/1996	RECEIVED
		5,568,272		Levine	10/22/1996	
		5,727,060		Young	03/10/1998	SEP 24 2001
		5,760,821		Ellis et al.	06/02/1998	
		5,808,608		Young et al.	09/15/1998	Technology Center 210
		5,822,123		Davis et al.	10/13/1998	
		5,826,166		Brooks et al.	10/20/1998	
		5,857,181		Augenbraun et al.	01/05/1999	
		5,867,219		Kohiyama	02/02/1999	
		5,883,677		Hofmann	03/16/1999	
		5,912,612		DeVolpi	06/15/1999	
	5,949,954		Young et al.	09/07/1999		
	5,970,206		Yuen et al.	10/19/1999		
	6,076,109		Kikinis	06/13/2000		
	5,727,159		Kikinis	03/10/1998		

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Benton Lueb

Date Considered

5/14/02

2 of 2

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.